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**Comment faire évoluer  
le système de publication scientifique  
en faisant passer l'intérêt des chercheurs  
devant celui des maisons d'édition?**

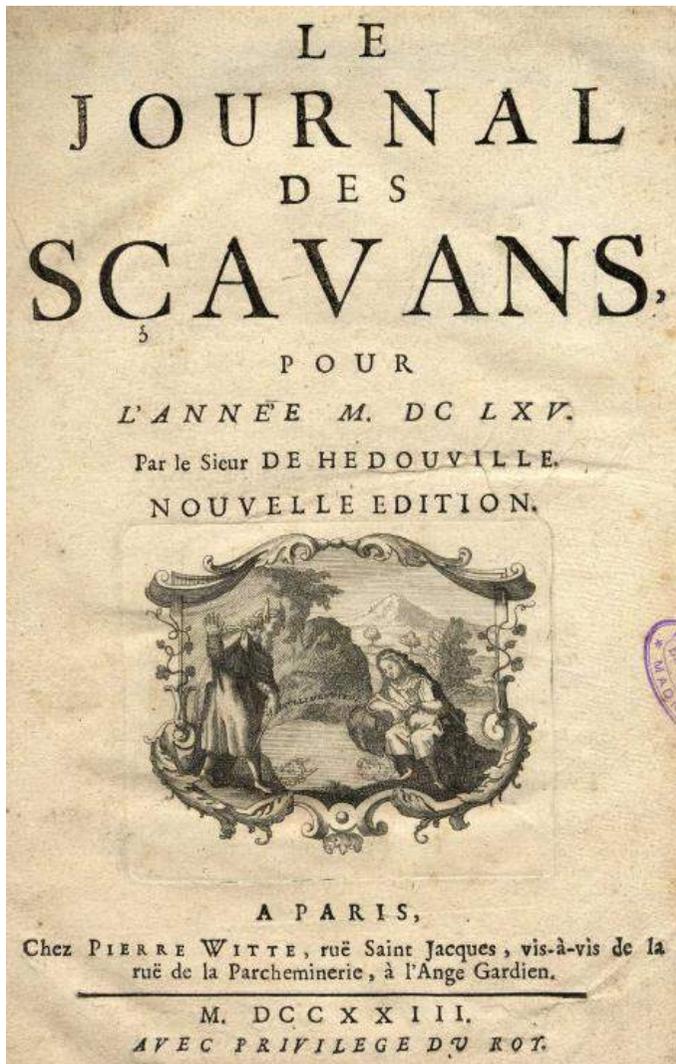
**Marie Farge**

CNRS-INSMI et ENS Paris

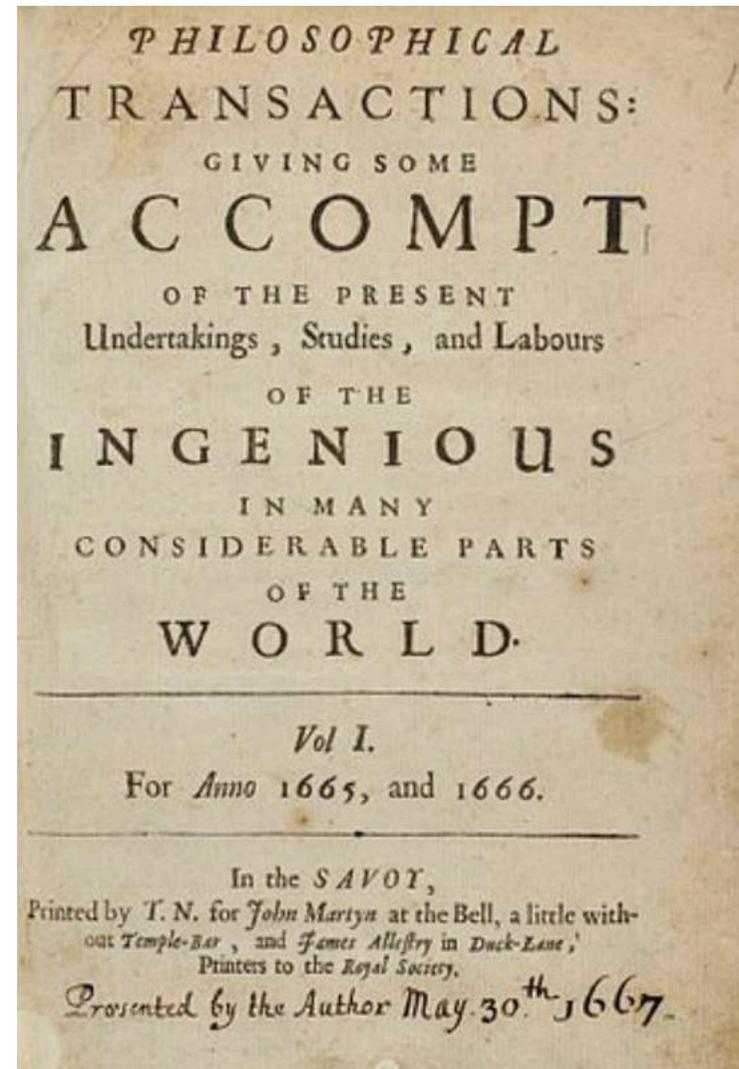
*International Open Access Week  
Université Clermont-Auvergne  
9 Novembre 2018*



# Les premières revues scientifiques



Paris, 5 Janvier 1665



Londres, 6 Mars 1665



# Le système de publication scientifique

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Publier les résultats de la recherche signifie les rendre publiques, afin qu'ils puissent être diffusés, utilisés et améliorés par d'autres.

La publication dans des revues à comité de lecture est la colonne vertébrale qui assure la validation collective des articles de recherche grâce à l'évaluation par les pairs.

Les pairs sont des chercheurs spécialistes du sujet traité par la revue, qui vérifient que les résultats présentés dans chaque article soumis sont originaux, valides et suffisamment intéressants pour être publiés. Ils suggèrent des améliorations avant d'accepter de publier l'article.

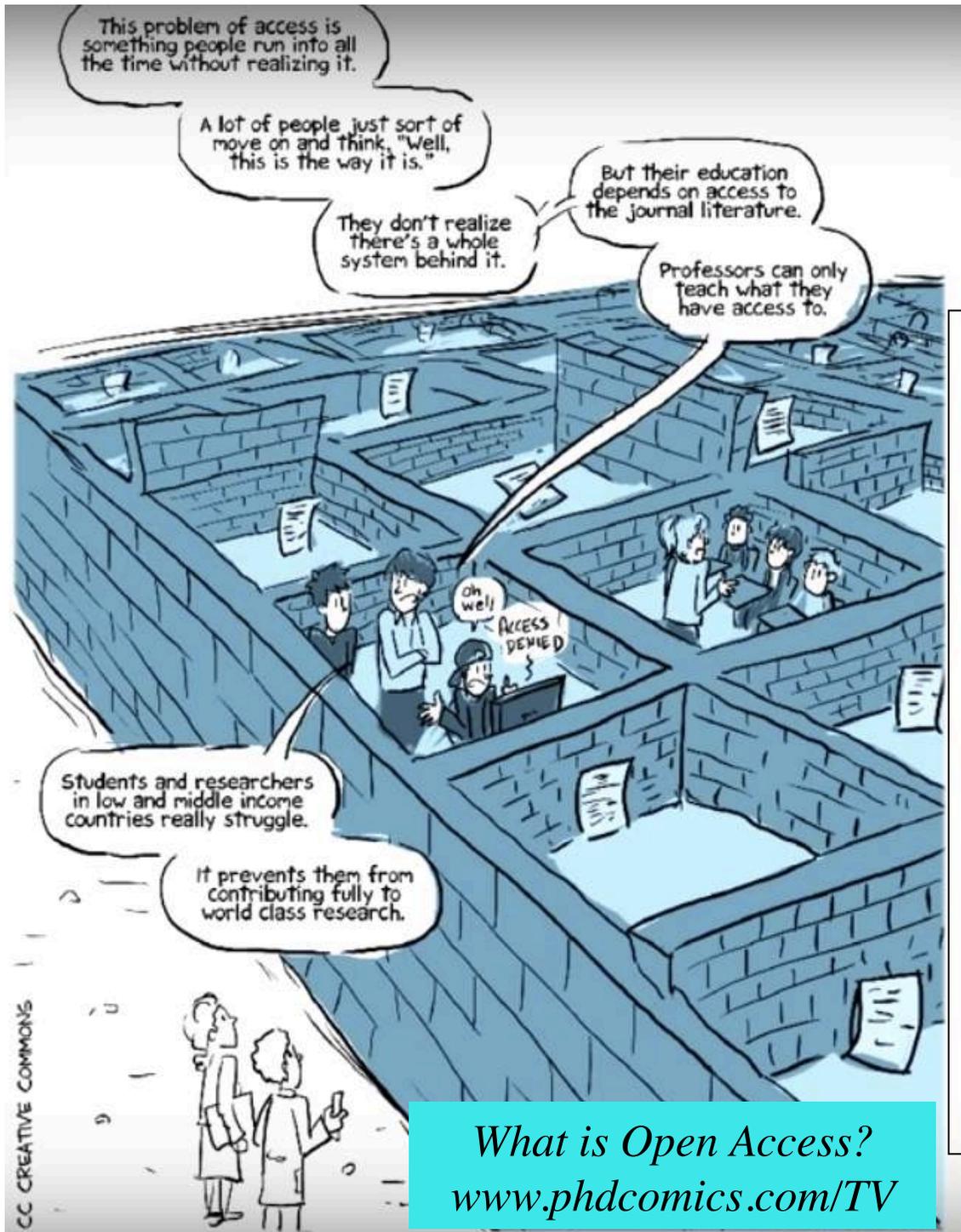
Afin de préserver leur objectivité, les pairs doivent être indépendants de la maison d'édition et non rétribués par celle-ci.



# Aujourd'hui les revues sont à péage

Les chercheurs soumettent leurs articles en version électronique 'prêts à imprimer' et les évaluent gratuitement, mais **doivent payer les maisons d'édition pour les lire et/ou les publier.**

Depuis vingt ans, les principales revues de recherche ont été rachetées par **quelques entreprises qui contrôlent l'édition scientifique.**

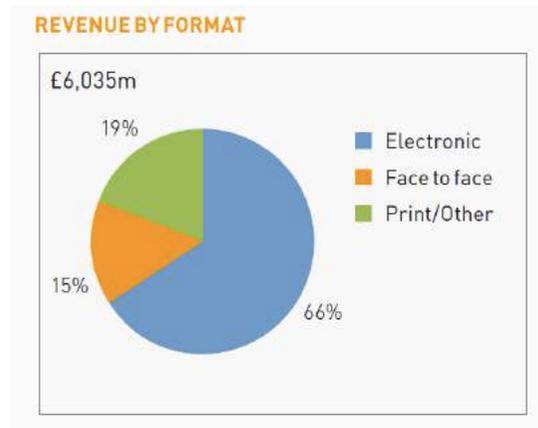


*What is Open Access?*  
[www.phdcomics.com/TV](http://www.phdcomics.com/TV)



# Quatre 'majors' dominant le marché

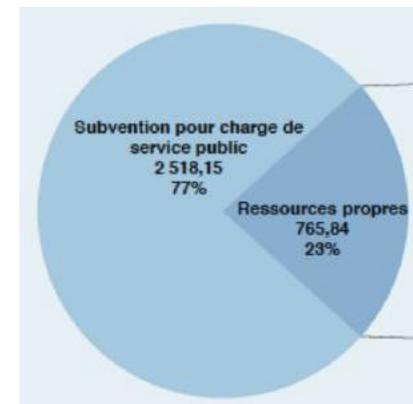
Quatre sociétés commerciales privées dominant le 'marché' des revues :  
*Elsevier, Springer Nature, Wiley-Blackwell et Taylor&Francis.*



8.4 Milliards €  
Chiffre d'affaire de  
*Reed-Elsevier* en 2017

<http://www.elsevier.com>

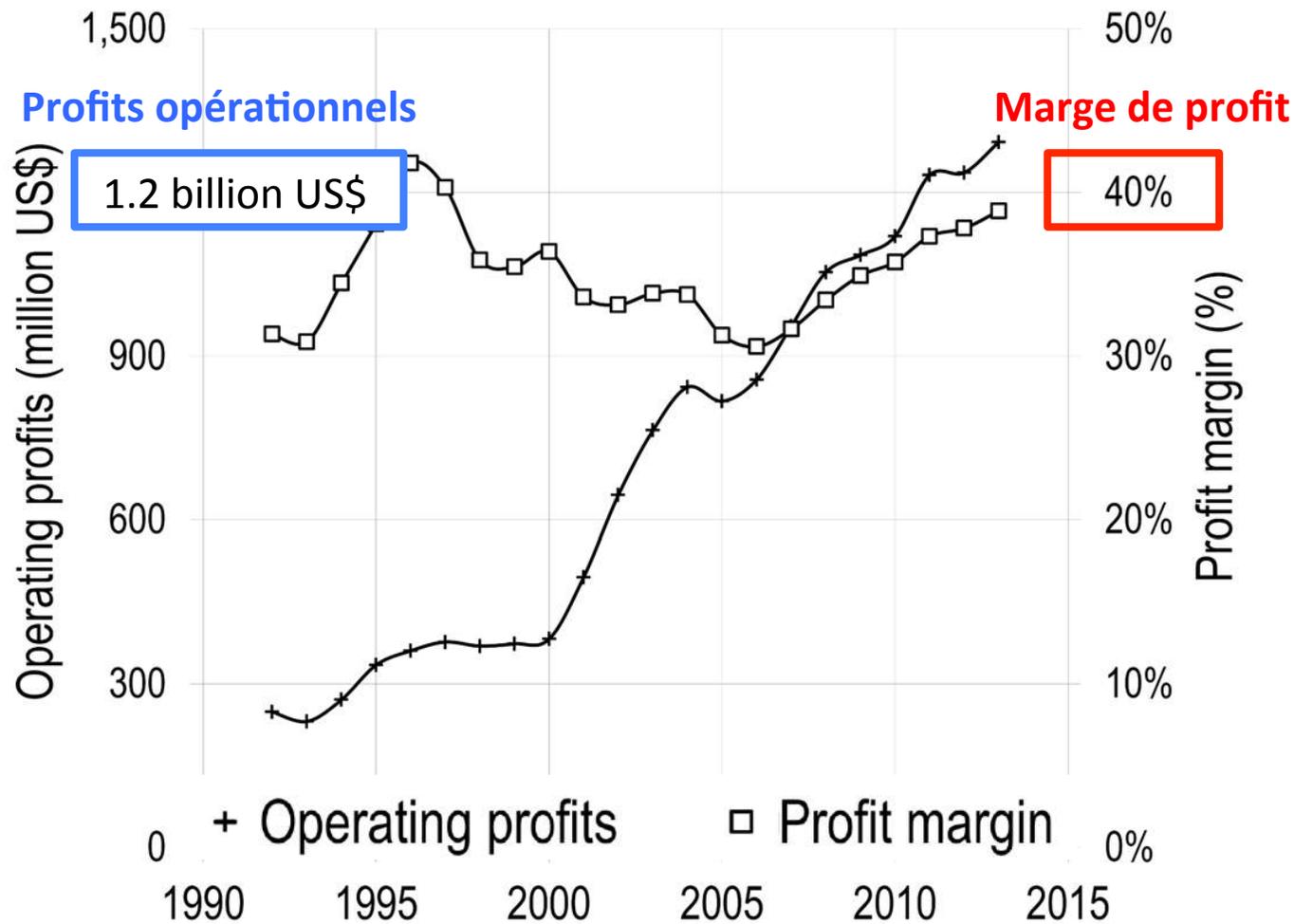
>>



3.3 Milliards €  
Budget du *CNRS*  
en 2017

<http://www.cnrs.fr/fr/le-cnrs>

Profits opérationnels et marge de profit de *Reed-Elsevier* pour sa division Scientifique, Technique et Médicale (STM) de 1990 à 2015



*Vincent Larivière et al., The Oligopoly of Academic Publishers, PLOS one, 10<sup>th</sup> June 2015*



# Le modèle économique date de l'imprimerie

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Aujourd'hui les maisons d'édition possèdent les articles, les revues et les plateformes (utilisées pour l'évaluation des articles, leur diffusion et la bibliométrie) car elles obligent les chercheurs à leur céder gratuitement leurs droits d'auteur.

Ce modèle économique date de l'ère de l'imprimerie et d'avant l'arrivée d'Internet, mais n'a plus de sens à l'ère numérique, sinon celui d'augmenter les profits des 'majors' et de leurs actionnaires.

Les chercheurs doivent reprendre le contrôle des revues (dont ils assurent l'évaluation par les pairs) et des articles (qu'ils écrivent) afin de maximiser leur dissémination grâce à Internet.

*Pour en savoir plus, voir sur YouTube :  
#DataGueule 63, Privés de savoir?*



# Ce dont les chercheurs ne veulent plus

---

Ordre donné par *Elsevier* en 2012 à un chercheur, dont l'article venait d'être accepté par le comité de lecture de la revue *Fluids and Structures*, pour augmenter l'impact facteur de cette revue

List of corrections that must be made

Please attend to the items ticked

1. Consult a recent issue of JFS, to see what the required style and format have to be
2. Indicate who is the corresponding author by an asterisk in the list of authors
3. Submit a double-spaced manuscript
4. Do not give titles (e.g. Assoc. Professor, Ph.D. student or whatever)
5. Add affiliation, immediately below list of authors; e.g. Department of ..., University ..., location, postal code, etc.
- ...
20. Before the figures, there should be pages listing the figure captions, double-spaced also. Do not capitalize every word.
21. You must cite, and include in the references, some JFS papers, including some published recently (in 2010 and 2011).

Formulaire de transfert de 'copyright' que j'ai dû signer le 24 Janvier 2017  
pour notre article accepté par le *Journal of Turbulence*, qui est un  
journal par abonnement publié uniquement sous forme électronique

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Article (the "Article") entitled: Coherent structure extraction in turbulent channel flow using boundary adapted wavelets

Article DOI: 10.1080/14685248.2017.1284326

Author(s): Teluo Sakurai, Katsunori Yoshimatsu, Kai Schneider, Marie Farge, Koji Morishita, Takashi Ishihara

To publish in the Journal: Journal of Turbulence

Journal ISSN: 1468-5248

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et nous devons maintenant payer *Taylor&Francis* pour le lire!

The screenshot shows a web browser window with the URL [www.tandfonline.com/doi/full/10.1080/14685248.2017.1284326](http://www.tandfonline.com/doi/full/10.1080/14685248.2017.1284326). The page is for the *Journal of Turbulence*, Volume 18, 2017 - Issue 4. The article title is "Coherent structure extraction in turbulent channel flow using boundary adapted wavelets" by Teluo Sakurai, Katsunori Yoshimatsu, Kai Schneider, Marie Farge, Koji Morishita & Takashi Ishihara. The article was published online on 06 Feb 2017. The page also shows 64 views, 0 CrossRef citations, and 0 Altmetric. A red box highlights the publication date "Published online: 06 Feb 2017".

<http://www.tandfonline.com/doi/full/10.1080/14685248.2017.1284326>

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Signature: ..... *Farge* ..... Date: ..... *May 6th 2018* .....

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doi:10.1017/jfm.2018.396, Published by Cambridge University Press,  
26 June 2018

*J. Fluid Mech.* (2018), vol. 849, pp. 676–717. © Cambridge University Press 2018

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doi:10.1017/jfm.2018.396

## Energy dissipation caused by boundary layer instability at vanishing viscosity

Natacha Nguyen van yen<sup>1</sup>, Matthias Waidmann<sup>1</sup>, Rupert Klein<sup>1</sup>,  
Marie Farge<sup>2,†</sup> and Kai Schneider<sup>3</sup>

<sup>1</sup>Institut für Mathematik, Freie Universität Berlin, Arnimallee 6, 14195 Berlin, Germany

<sup>2</sup>LMD-CNRS, Ecole Normale Supérieure, 24 rue Lhomond, 75231 Paris CEDEX 5, France

<sup>3</sup>Institut de Mathématiques de Marseille, Aix-Marseille Université and CNRS, Marseille, France

(Received 12 July 2017; revised 4 March 2018; accepted 16 April 2018)



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Un erratum qui va paraître dans le prochain numéro de *JFM*.

## ERRATUM

### Energy dissipation caused by boundary layer instability at vanishing viscosity – ERRATUM

Natacha Nguyen van yen, Matthias Waidmann, Rupert Klein, Marie Farge  
and Kai Schneider

doi:10.1017/jfm.2018.396, Published by Cambridge University Press,  
26 June 2018

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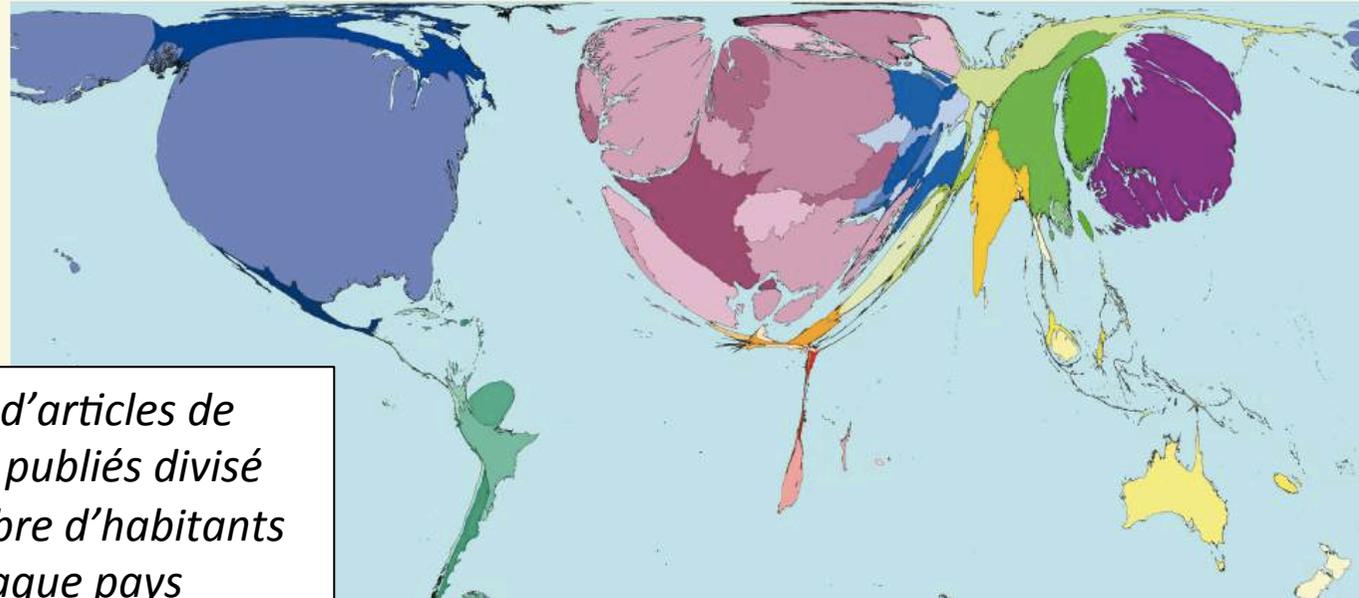
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The publisher apologises to the authors for this mistake.

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# Le modèle du *Gold OA* est dangereux



Nombre d'articles de recherche publiés divisé par le nombre d'habitants de chaque pays

<http://www.worldmapper.org>

- 1 → Centre National de la Recherche Scientifique
- 2 → Chinese Academy of Sciences\*
- 3 → Russian Academy of Sciences\*
- 4 → Harvard University
- 5 → Helmholtz Gemeinschaft\*
- 6 → Max Planck Gesellschaft\*
- 7 → University of Tokyo

<http://www.scimagoir.com>

Si les chercheurs doivent payer les maisons d'édition pour publier leurs articles en accès ouvert (modèle *Gold Open Access*), la recherche française coure à la banqueroute, et il faudra peut-être empêcher les chercheurs de publier!

# Les chercheurs se fâchent

En 2012 *Tim Gowers* et 33 collègues mathématiciens ont lancé le mouvement *The Cost of Knowledge* qui appelle à boycotter *Elsevier*, ce qui a permis de stopper le *Research Works Act* au Congrès américain, une proposition de loi déposée sous la pression du lobbying d'*Elsevier*.



*Sir Tim Gowers,  
Fields Medal 1998*

## 17062 Researchers Taking a Stand. [See the list](#)

Academics have protested against Elsevier's business practices for years with little effect. These are some of their objections:

1. They charge exorbitantly high prices for subscriptions to individual journals.
2. In the light of these high prices, the only realistic option for many libraries is to agree to buy very large "bundles", which will include many journals that those libraries do not actually want. Elsevier thus makes huge profits by exploiting the fact that some of their journals are essential.
3. They support measures such as SOPA, PIPA and the ~~Research Works Act~~, that aim to restrict the free exchange of information.

<http://www.thecostofknowledge.com/>



# Ils veulent reprendre le contrôle du système

‘Il est indispensable que les chercheurs puissent développer une **troisième voie**, beaucoup moins coûteuse [...] Elle est appelée **Diamond OA** et se caractérise par le fait que **ni le lecteur ni l'auteur ne doivent payer** et que **le journal appartient, non plus à une maison d'édition, mais au comité éditorial** [...] un collège de chercheurs qui se charge de la publication des articles avec l'aide d'unités de service dont le rôle est d'assurer la publication des articles retenus’

*Marie Farge, Note pour la ministre de la recherche, Juin 29<sup>th</sup> 2012*  
[http://openscience.ens.fr/MARIE\\_FARGE/](http://openscience.ens.fr/MARIE_FARGE/)



*Diamond Sutra,  
the earliest complete survival  
of a dated printed book,  
China, 11th May 868*

*British Library, London*



# Ce que proposent les chercheurs

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Les journaux appartiennent à leur comité éditorial composé exclusivement de chercheurs, qui continuent d'assurer bénévolement l'évaluation par les pairs.

Les auteurs gardent leur droit d'auteur et mettent leurs articles en *Diamond OA* sous une licence *Creative Commons CC-BY*.

Les institutions publiques financent et possèdent les plateformes (d'évaluation, publication et bibliométrie) développées en logiciel libre.

Les bibliothécaires aident les chercheurs à publier leurs articles grâce aux plateformes et les maisons d'édition assurent divers services après avoir été mises en concurrence par appel d'offre.



# Quelques plateformes publiques existent

-  Brasil
- +
-  África do Sul
-  Argentina
-  Brasil
-  Chile
-  Colômbia
-  Costa Rica
-  Cuba
-  Espanha
-  México
-  Peru
-  Portugal
-  Venezuela
- +
-  Bolívia
-  Paraguay
-  Uruguai



Créée en 1999  
par *Abel Packer*,  
elle publie  
**1285 revues**  
**en accès libre**  
et est financée sur  
fonds publics:  
FAPESP, CNPq,  
et BIREME.

<http://www.scielo.br>



Créée en 1999  
par *Marin Dacos*,  
elle publie  
**483 revues**  
**en accès libre**  
et est financée sur  
fonds publics:  
CNRS, EHESS, BSN,  
universités d' Avignon  
et d' Aix-Marseille.

<https://journals.openedition.org/>



# Exemples de 3 revues en *Diamond OA*

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1.



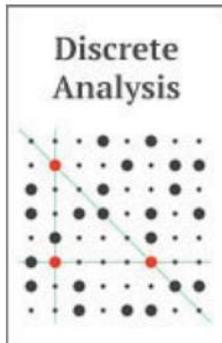
IPOP Journal · Image Processing On Line

[//www.ipol.im](http://www.ipol.im) ISSN : 2105-1232 DOI : 10.5201/ipol

Fondée en 2010 par *Jean-Michel Morel*, IPOP a 41 éditeurs. Cette revue est financée par le CNES, l'ERC et 13 institutions publiques.

Chaque article contient le **texte**, l'**algorithme** et le **code source**, qui tous sont **évalués par les pairs**. La plateforme de la revue offre la possibilité de tester l'algorithme sur ses propres données et d'**archiver les résultats obtenus**.

2.



<http://discreteanalysisjournal.com> ISSN : 2397-3129

Foundée en 2015 par *Tim Gowers*, la revue DA a 12 editors. C'est un **épi-journal qui s'appuie sur l'archive ouverte arXiv**. Le comité éditorial utilise le logiciel *Scholastica* (10€/article) **pour la révision par les pairs**.

3.

*A new journal in combinatorics*, 4 Juin 2018, <http://gowers.wordpress.com>



# Création du Centre Mersenne en 2018

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Plateforme d'évaluation par les pairs et de publication  
en accès libre et gratuit pour les lecteurs et les auteurs  
en *Diamond OA* de revues académiques à comité de lecture  
pour les articles mis en page sous *LaTeX*.

Elle a été créée en Janvier 2018 à Grenoble par *Thierry Bouche*  
dans le cadre de la cellule *Mathdoc* (unité mixte CNRS-UGA).

Principes directeurs:

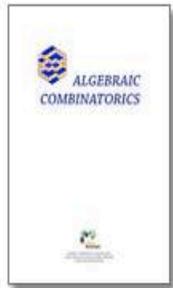
- Qualité de l'évaluation par les pairs,
  - Service public non lucratif,
  - Archivage pérenne,
- Transparence sur les coûts et la sélection des revues.

<https://www.centre-mersenne.org/>



# 11 revues publiées par le Centre Mersenne

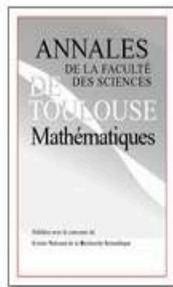
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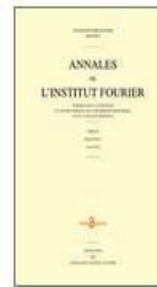
*Algebraic Combinatorics*  
Mathématiques



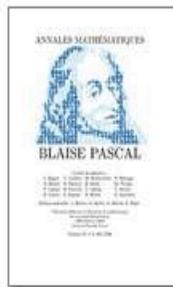
*Annales Henri Lebesgue*  
Mathématiques



*Annales de la Faculté des Sciences de Toulouse*  
Mathématiques



*Annales de l'Institut Fourier*  
Mathématiques



*Annales Mathématiques Blaise Pascal*  
Mathématiques



*Confluentes Mathematici*  
Mathématiques

# 11 revues publiées par le Centre Mersenne

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*Journal de l'Ecole Polytechnique*  
Mathématiques



*Journal de Théorie des nombres  
de Bordeaux*  
Mathématiques



*MathS in Action*  
Mathématiques appliquées



*Publications Mathématiques de  
Besançon*  
Mathématiques



*SMAI Journal of  
Computational Mathematics*  
Mathématiques appliquées

# La meilleure solution en attendant mieux

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Aujourd'hui les 'majors' de l'édition imposent leur modèle *Gold OA*, où les chercheurs doivent payer pour publier leurs articles. Ceci est **inadmissible du point de vue éthique** et conduit à la création de nombreuses revues de mauvaises qualité, voire 'bidons'.

[http://openscience.ens.fr/MARIE\\_FARGE2011\\_AVIS\\_COMITE\\_ETHIQUE\\_CNRS](http://openscience.ens.fr/MARIE_FARGE2011_AVIS_COMITE_ETHIQUE_CNRS)

La meilleure façon de gérer la transition est le modèle *Green OA*, où les chercheurs publient dans les revues qu'ils préfèrent et déposent leur version auteur (*preprint*) dans des archives publiques ouvertes.

[http://openscience.ens.fr/MARIE\\_FARGE2017\\_BOOK\\_CHAPTER\\_COMMISSION](http://openscience.ens.fr/MARIE_FARGE2017_BOOK_CHAPTER_COMMISSION)

Certaines revues autorisent ce dépôt dès la date de publication. La *Loi Lemaire pour la République Numérique* du 7 Octobre 2016 rend ce dépôt légal, au plus six ou douze mois après la publication.



**LOI n° 2016-1321 du 7 octobre 2016  
pour une République numérique (1)**

NOR : ECFI1524250L

L'Assemblée nationale et le Sénat ont adopté,

Le Président de la République promulgue la loi dont la teneur suit :

**TITRE I<sup>er</sup>**

**LA CIRCULATION DES DONNÉES ET DU SAVOIR**

**CHAPITRE II**

**Economie du savoir**

**Article 30**

Le chapitre III du titre III du livre V du code de la recherche est complété par un article L. 533-4 ainsi rédigé :

« *Art. L. 533-4. – I. –* Lorsqu'un écrit scientifique issu d'une activité de recherche financée au moins pour moitié par des dotations de l'Etat, des collectivités territoriales ou des établissements publics, par des subventions d'agences de financement nationales ou par des fonds de l'Union européenne est publié dans un périodique paraissant au moins une fois par an, son auteur dispose, même après avoir accordé des droits exclusifs à un éditeur, du droit de mettre à disposition gratuitement dans un format ouvert, par voie numérique, sous réserve de l'accord des éventuels coauteurs, la version finale de son manuscrit acceptée pour publication, dès lors que l'éditeur met lui-même celle-ci gratuitement à disposition par voie numérique ou, à défaut, à l'expiration d'un délai courant à compter de la date de la première publication. Ce délai est au maximum de six mois pour une publication dans le domaine des sciences, de la technique et de la médecine et de douze mois dans celui des sciences humaines et sociales.

*Journal Officiel  
du 8 Octobre 2016*



# Plateforme pour libérer nos articles

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<http://dissem.in>

*'Spot your own paywalled papers. Liberate them in one click!'*



Cette plateforme a été **créée en 2014 par Antonin Delpuch**, quand il était étudiant en math-informatique à l'ENS Paris.



# L'équipe *CAPSH/dissemin.in*

La plate-forme *dissem.in* est développée par l'association Loi 1901 *CAPSH* (*Comité pour l'Accessibilité aux Publications en Sciences et Humanités*) créée le 5 Septembre 2015 et domiciliée à Cluny (Saône-et-Loire).

**Antonin Delpuch**

Graduate student, Computer Science  
École Normale Supérieure  
France



*"We need to take a stand against more traditional publishers"*



*Antonin Delpuch*

<http://openscholarchampions.eu>

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*Antoine Amarilli*



*Thomas Bourgeat*



*Marie Farge*



*Pablo Rauzy*



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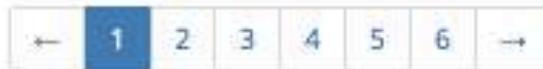
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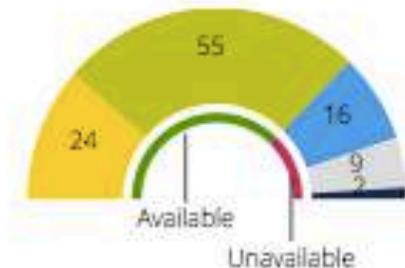
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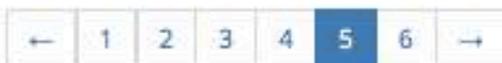
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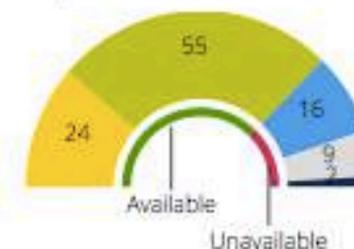
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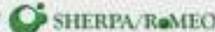
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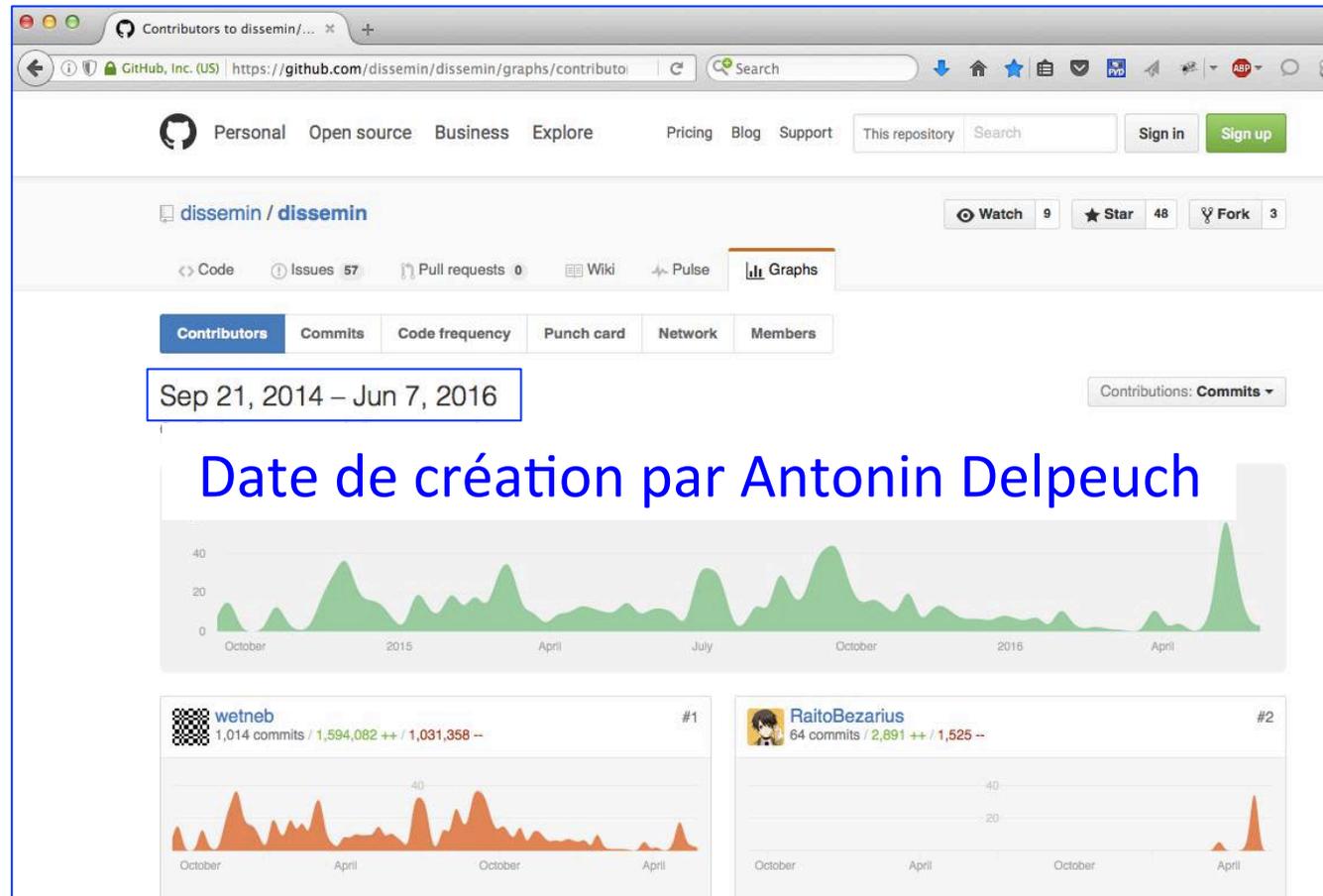
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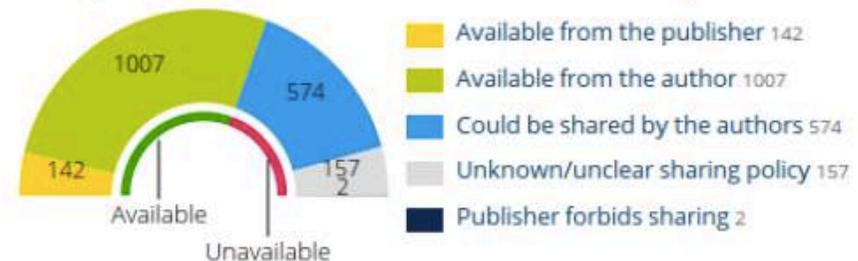
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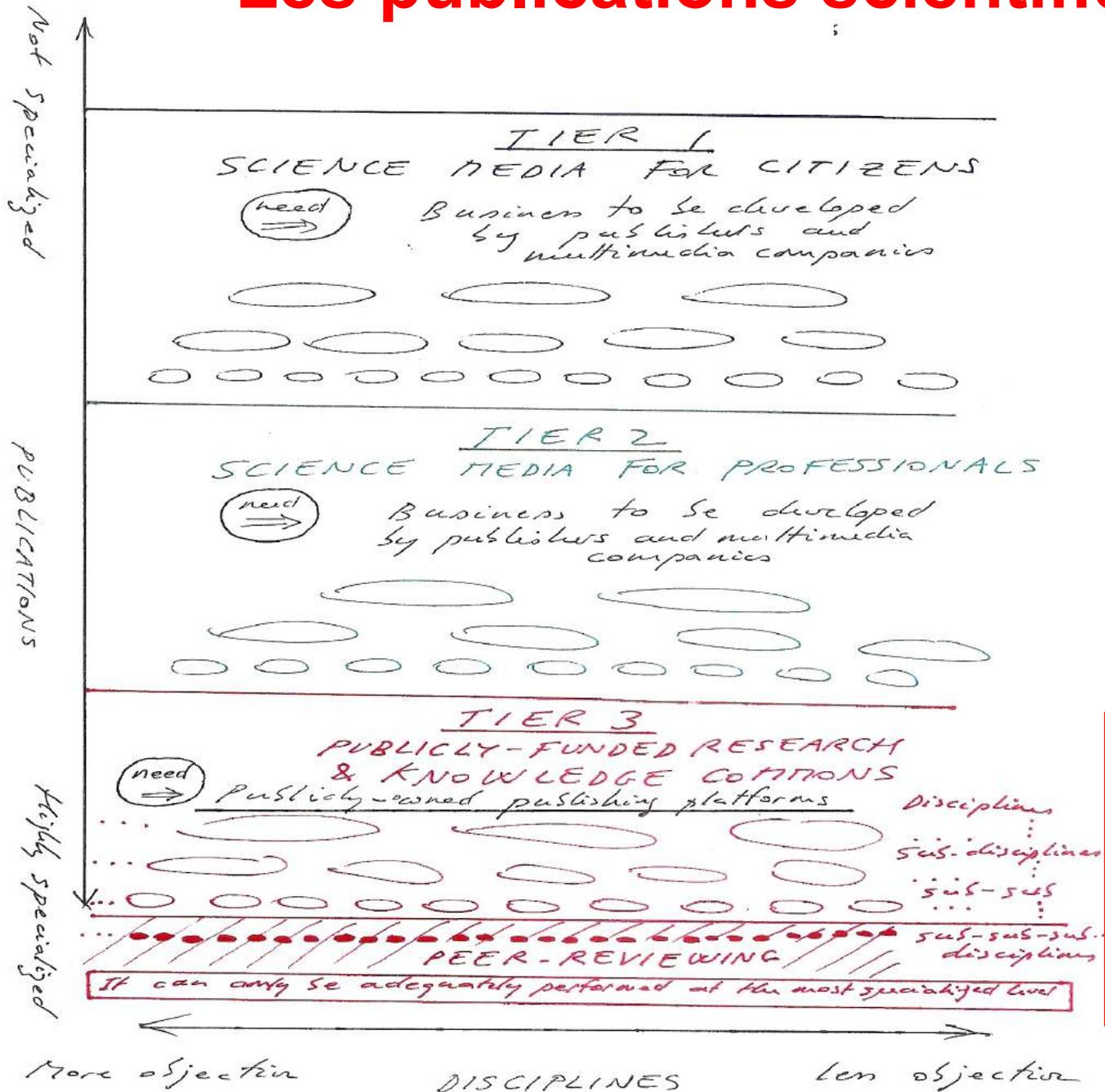
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